



MTS-3286US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

D. Ogata et al.

: Art Unit:

Serial No.:

10/009,671

: Examiner:

Filed:

Herewith

FOR:

OPTICAL HEAD

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Japanese Reference Nos. 08-55363 and 10-208281 are not in the English language, but an English abstract thereof is attached to the copy of the reference submitted herewith.

No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Allan Ratner, Reg. No. 19,717 Attorney for Applicants

Enclosures:

PTO Form 1449 Copy of (9) References

Dated: June 26, 2002

P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

EXPRESS MAIL Mailing Label Number: EV050915253US Date of Deposit: June 26, 2002

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Kathleen Libby

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE MTS-3286US 10/009,671 mation Disclosure ATTY. DOCKET NO. SERIAL NO MTS-3286US 10/009,671

GROUP

Information Disclosure Statement by Applicant

FORM PTO-1449

(Rev. 2-32)

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

D. Ogata et al.

FILING DATE

Herewith

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
	6,081,488	06/27/00	Yamanaka			
	6,097,691	08/01/00	Shimozono			
	6,091,691	07/18/00	Yoo et al.			
	5,974,020	10/26/99	Ju et al.		<u> </u>	
	5,912,868	06/15/99	Hayashi et al. (corresponds to JP08-55363)			
	5,703,856	12/30/97	Hayashi et al.	T		
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FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Transl YES	
	0 844 606	05/27/9	EPO (corresponds to JP10-208281)		l	ΙΙΙ	
	10-208281	08/07/9	Japan (w/English abstract; corresponds to EP0844606)				
	08-55363	02/27/9	Japan (w/English abstract; corresponds to USP5,912,868)				
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OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

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Examiner	Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.